

KYC No. _____



ADINATH

ADINATH STOCK BROKING PRIVATE LTD.

CLIENT REGISTRATION KIT

INSTRUCTIONS FOR FILLING UP AND COMPLETING THE ACCOUNT OPENING DOCUMENTS:

1. Please provide all the information asked in the form and attach copies of the documents required.
2. In case of any correction in the form - Sign next to the correction done & Sign has to match the original signature.
3. Please produce original documents for verification at the time of form submission.
4. Certain additional Annexures are incorporated in order to ensure smooth functioning of Trading facilities / services offered by the Trading Member and these facilities are voluntary and client needs to read the same carefully before signing.
5. The information given here would be confidential. However, if the information is required by SEBI, by any Stock Exchange or by any government agency it may be given to them.
6. Signature Guide
 - a) Signature of Client / First Holder ☺
 - b) Signature of the Introducer ⌘
 - c) Signature of Nominee ⊗
 - d) Signature of Guardian 🌀
 - e) Signature of the Witness of the Client ✍
 - f) Signature of the Witness of Member / DP / Authorized signatory 🖋
 - g) Signature of member / DP / Authorised Signatory 👍
 - h) Signature of In Person Officer 🖐

Proof of Identify and Proof of Address is compulsory for all holders in the Account.

Documents that can be furnished for proof of Identity and Correspondence Addresses.

Proof of Identify (Any one):

- 1) Passport
- 2) Driving License
- 3) Aadhar Card
- 4) Voter ID Card
- 5) PAN Card

Proof of Address (Any one):

- 1) Ration Card
- 2) Voter ID Card
- 3) Driving License
- 4) Passport
- 5) Aadhar Card
- 6) Electricity Bill / Telephone Bill (not more than 3 months old)
- 7) Leave and License Agreement / Agreement for sale.

ADDITIONAL DOCUMENTS:

1) For trading in Derivative Segment proof of financial details required. (Any one of the following)

- a) Copy of acknowledgment of latest Income Tax Return.
- b) Copy of annual Accounts
- c) Net-worth Certificate
- d) Bank account statement for last six months.
- e) Copy of DEMAT holding statement.
- f) In case of Salaried persons Latest Salary Slip and Form 16 copy.
- g) Any other relevant documents substantiating ownership of assets.
- h) Self declaration along with relevant supporting.

2) For NRI Clients only (NRI Clients need to provide ALL of the following documents and details.)

- a) Details of Indian Address and Foreign Address along with proof.
- b) Copy of Passport (validity remains atleast 6 months).
- c) Copy of RBI approval / PIS Letter for dealing in securities market.
- d) Certified true copy of POA duly notarized.
- e) Bank verification Letter / Cheque Leaf indicating type of accounts as NRI / NRE / NRO.
- f) If a person is not able to get the document in original then, photocopies of all the KYC document / proof and PAN card are attested by the indian embassy / consulate general / notary public / any court / magistrate / local banker in the country where the NRI resides.

NOTE

- Please ensure that all the above mentioned documents are submitted along with the form fully complete with signatures.
- Please provide clear copies of the documents.
- Please note that no other proof other than mentioned above would be accepted.
- In case the applicant is a spouse / child, where proof of address is in the name of spouse / parent, then the document such as Ration Card evidencing their relationship should be submitted.
- Please strike off whichever part of the application is not applicable to you.

INSTRUCTIONS/ CHECK LIST

1. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. For individuals:
- Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/authorised person's office.
 - In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.
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ADINATH STOCK BROKING PRIVATE LTD.

Registered / Correspondence Office:

01 Runwal Complex, Old Mondha, Opp. Hanuman Mandir, Jalna- 431203.

PARTICULAR	REG. NO.
SEBI	INZ000204337
CDSL	IN-DP-694-2022

Email: adinathgroup@adinathonline.com • Contact No. 02482 - 243000 / 243100 / 243500 • Website: www.adinathonline.com

MEMBER OF : • National Stock Exchange of India Ltd. **(NSE)** Member Code: 12805 • BSE Limited **(BSE)** Member Code: 3175
• Central Depository Services (India) Ltd. **(CDSL)** Member Code: 12055200

	Name	Phone No.	Email id
Compliance Officer - Stock Broker	Mr. Vijay Ginode	02482 -243000	adinathgroup@gmail.com
Compliance Officer - DP	Mr. Vijay Ginode	02482 -243000	adinathgroup@gmail.com
CEO	Mr. Amit Mantri	02482 -243000	adinathgroup@adinathonline.com

For any grievance/ dispute please contact **ADINATH STOCK BROKING PRIVATE LTD.** at the above address or Email id: investor_greivances@adinathonline.com and Phone no. 02482 - 243000. In case not satisfied with the response, please contact the concerned exchange (s) as given below.

Exchange	Email Id / Website	Phone No.
BSE Limited	is@bseindia.com	+91 22 2272 8097
National Stock Exchange of India Ltd.	ignse@nse.co.in	1800-22-0058
Central Depository Services (India) Ltd.	complaints@cdslindia.com	+91 22 2272 3333
SEBI SCORES	https://scores.gov.in/scores/Welcome.html	1800 266 7575

SELF CLEARING	IN BSE & NSE EQUITY
CLEARING MEMBER IN NSE F&O	GLOBE CAPITAL MARKET LTD.
	609 ANSAL BHAVAN, 16 K.G.MARG CANNAUGHT PLACE NEW DELHI 110001
SEBI REGISTRATION NO:	INZ000097337

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Sr No.	Name of the Document	Brief Significance of the Document	Pg. No.
MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI, CDSL & EXCHANGES			
1.	ACCOUNT OPENING FORM	A. KYC form - Document captures the basic information about the constituent and an instruction / check list. B. Document captures the additional information about the constituent relevant to Trading and Demat Account and an Instruction / check list.	1-10
2.	NOMINATION FORM	Nomination for Trading and Demat Accounts	11-12
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5.	RIGHTS AND OBLIGATIONS	Document stating the Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories	16-18
6.	(FATCA) DECLARATION	Confirmation under the foreign account tax compliance act (FATCA) for determining us person status	19-21
VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER			
7.	DDPI	Demat Debit and Pledge Instruction (DDPI)	22
8.	RUNNING ACCOUNT AUTHORISATION	Running Account Authorisation for all Exchanges	24
9.	UNIQUE CLIENT CODE	Unique Client Code (UCC) Details Addition / Deletion Request Form	25
10.	LETTER	Letter of Authority to Trade	26
11.	ACKNOWLEDGEMENT	Acknowledge receipt of the all documents	27

<div>Know Your Client (KYC)</div> <div>Application Form (For Individuals Only)</div> <div>Please fill the form in ENGLISH and in BLOCK letters</div> <div>Fields marked * are mandatory</div> <div>Fields marked * are pertaining to CKYC and mandatory only if processing CKYC also</div>	<div>ADINATH STOCK BROKING PRIVATE LTD.</div> <div>01 Runwal Complex, Old Mondha,</div> <div>Opp. Hanuman Mandir, Jalna- 431203.</div> <div>Ckyc Number :</div> <div>Application Number :</div> <div>Application Type*:<div><input type="checkbox"/> New KYC</div><div><input type="checkbox"/> Modification KYC</div></div>
<div>KYC Mode*: Please Tick (✓)</div> <div><div><input type="checkbox"/> Normal</div><div><input type="checkbox"/> EKYC OTP</div><div><input type="checkbox"/> EKYC Biometric</div><div><input type="checkbox"/> Online KYC</div><div><input type="checkbox"/> Offline EKYC</div><div><input type="checkbox"/> Digilocker</div></div>	
<div>1. Identity Details (please refer guidelines overleaf)</div>	
<div>PAN*<div>Please enclose a duly attested copy of your PAN Card</div></div> <div><div>Name* (same as ID proof)</div><div>Maiden Name* (if any)</div><div>Fathers/Spouse's Name*</div><div>Date of Birth*</div><div>Gender*<div><input type="checkbox"/> Male</div><div><input type="checkbox"/> Female</div><div><input type="checkbox"/> Transgender</div></div><div>Marital Status*<div><input type="checkbox"/> Single</div><div><input type="checkbox"/> Married</div></div><div>Nationality*<div><input type="checkbox"/> Indian</div><div><input type="checkbox"/> Other</div></div><div>Residential Status*<div><input type="checkbox"/> Resident Individual</div><div><input type="checkbox"/> Non Resident Indian</div></div><div>Please Tick (✓)<div><input type="checkbox"/> Foreign National</div><div><input type="checkbox"/> Person of Indian Origin*</div></div><div>(Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)</div></div> <div><div>Recent passport size</div><div>Applicant Photo</div><div>Cross Signature across photograph</div></div>	
<div>Proof of Identity (POI) submitted for PAN exempted cases (Please tick)</div> <div><div><div><input type="checkbox"/> A — Aadhaar Card</div><div>XXXX XXXX _ _ _ _</div><div>(Expiry Date)</div></div><div><div><input type="checkbox"/> B — Passport Number</div><div></div><div>(Expiry Date)</div></div><div><div><input type="checkbox"/> C — Voter ID Card</div><div></div><div>(Expiry Date)</div></div><div><div><input type="checkbox"/> D —Driving License</div><div></div><div></div></div><div><div><input type="checkbox"/> E —NREGA Job Card</div><div></div><div></div></div><div><div><input type="checkbox"/> F — NPR</div><div></div><div></div></div><div><div><input type="checkbox"/> Z —Others</div><div></div><div>(any document notified by Central Government)</div></div></div> <div>Identification Number</div>	
<div>2. Address Details* (please refer guidelines overleaf)</div>	
<div>A. Correspondence/ Local Address*</div> <div><div>Line 1*</div><div>Line 2</div><div>Line3</div><div>City/Town/Village*<div>District*</div><div>Pin Code*</div></div><div>State*<div>Country*</div></div><div>Address Type*<div><input type="checkbox"/> Residential/Business</div><div><input type="checkbox"/> Residential</div><div><input type="checkbox"/> Business</div><div><input type="checkbox"/> Registered Office</div><div><input type="checkbox"/> Unspecified</div></div></div>	
<div>* Proof of Correspondence Address :</div> <div>* Aadhaar Card : * Passport Number :</div> <div>* Voter ID Card : * Driving License :</div> <div>* Bank Statement</div>	<div>Applicant e-SIGN</div> <div>*</div>

1

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1*

Line 2

Line3

City/

Town/Village*

District*

Pin Code*

State*

Country*

Address Type*

☐

Residential/Business

☐

Residential

☐

Business

☐

Registered Office

☐

Unspecified

Proof of Address* (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

<input type="checkbox"/> A — Aadhaar Card	XXXX XXXX ____	
<input type="checkbox"/> B — Passport Number		(Expiry Date) _____
<input type="checkbox"/> C — Voter ID Card		
<input type="checkbox"/> D — Driving License		(Expiry Date) _____
<input type="checkbox"/> E — NREGA Job Card		
<input type="checkbox"/> F — NPR Letter		
<input type="checkbox"/> Z—Others		(any document notified by Central Government)
Identification Number		

3. Contact Details (in CAPITAL)



Email ID*

Mobile No. *

Tel (off)

Tel (Res)

4. Applicant Declaration

<p>I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.</p> <p>I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.</p> <p>I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.</p> <p>DATE: _____ (DD-MM-YYYY)</p> <p>PLACE: _____</p>	Applicant e-SIGN	Applicant Wet Signature
		

5. For Office Use Only

In-Person Verification (IPV) carried out by*	Intermediary Details*
<p>IPV Date _____</p> <p>Emp. Name _____</p> <p>Emp. Code _____</p> <p>Emp. Designation _____</p>	<p><input type="checkbox"/> Self certified document copies received (OVD)</p> <p><input type="checkbox"/> True Copies of documents received (Attested)</p> <p>AMC / Intermediary Name :</p> <p>_____</p>
<p>Employee Signature and Stamp</p>	<p>Institution Name and Stamp</p>

OTHER INFORMATION (TRADING AND DEMAT ACCOUNT)

A. BANK ACCOUNT DETAILS

Bank Name	Bank Address	Bank A/c No.	Account Type*	MICR No.	IFSC Code

*Saving / Current / Others – In case of NRI / NRE / NRO

B. DEMAT ACCOUNT DETAILS




Depository Participant Name	Depository Name (NSDL/CDSL)	Beneficiary Name	DP ID	Beneficiary ID (BO ID)

C. OTHER DETAILS

GROSS INCOME DETAILS	FIRST/SOLE HOLDER (Demat / Trading Account)
	<input type="checkbox"/> < 1Lac <input type="checkbox"/> 1 - 5 Lacs <input type="checkbox"/> 5 - 10 Lacs <input type="checkbox"/> 10 - 25 Lacs <input type="checkbox"/> > 25 Lacs OR Net-worth as on (date) Rs. _____ Date _____. (Net worth should not be older than 1 year)
	SECOND HOLDER (Demat Account)
	<input type="checkbox"/> < 1Lac <input type="checkbox"/> 1 - 5 Lacs <input type="checkbox"/> 5 - 10 Lacs <input type="checkbox"/> 10 - 25 Lacs <input type="checkbox"/> > 25 Lacs OR Net-worth as on (date) Rs. _____ Date _____. (Net worth should not be older than 1 year)
	THIRD HOLDER (Demat Account)
	<input type="checkbox"/> < 1Lac <input type="checkbox"/> 1 - 5 Lacs <input type="checkbox"/> 5 - 10 Lacs <input type="checkbox"/> 10 - 25 Lacs <input type="checkbox"/> > 25 Lacs OR Net-worth as on (date) Rs. _____ Date _____. (Net worth should not be older than 1 year)

Occupation	FIRST/SOLE HOLDER (Demat / Trading Account) <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retire <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (please Specify)_____
	SECOND HOLDER (Demat Account) <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retire <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (please Specify)_____
	THIRD HOLDER (Demat Account) <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retire <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (please Specify)_____
PEP/RPEP	FIRST/SOLE HOLDER (Demat / Trading Account) <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)
	SECOND HOLDER (Demat Account) <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)
	THIRD HOLDER (Demat Account) <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)
PAST ACTIONS	Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years: _____
COMMON EMAIL ID / MOBILE NO. DECLARATION	Email ID: _____ Name of the person in whose name the email ID is registered: _____ Relationship with the person: <input type="checkbox"/> Self <input type="checkbox"/> Dependent Parent <input type="checkbox"/> Dependent Child <input type="checkbox"/> Spouse Mobile No.: _____ Name of the person in whose name the mobile no. is registered: _____ Relationship with the person: <input type="checkbox"/> Self <input type="checkbox"/> Dependent Parent <input type="checkbox"/> Dependent Child <input type="checkbox"/> Spouse
ANY OTHER INFORMATION	_____ _____ _____

D. TRADING PREFERENCES

Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.			
EXCHANGE	NSE & BSE, MCX & NCDEX		
All Segment	Cash / Mutual Fund	F & O	COMMODITY DERIVATIVE
			
If you do not wish to trade in any of segments / Mutual Fund, please mention here _____.			

E. OTHER DETAILS

DEALINGS THROUGH AUTHORISED PERSON	If client is dealing through the authorised person, provide the following details: Authorised person's Name: _____ Registration No.: _____ Registered Office address: _____ Phone No.: _____ Email: _____ Website: _____
DEALINGS THROUGH OTHER STOCK BROKERS	Whether dealing with any other stock broker (in case dealing with multiple stock brokers, provide details of all) Name of Stock Broker: _____ Client Code: _____ Exchange: _____ Details of disputes / dues pending from/to such stock broker: _____
MODE OF RECEIPT OF CONTRACT NOTE	<input type="checkbox"/> Physical contract note or <input type="checkbox"/> Electronic Contract Note (ECN) Specify your Email id, if applicable: _____
INTERNET TRADING/ WIRELESS TECHNOLOGY FACILITY	Whether you wish to avail of the facility of internet trading/ wireless technology (please specify): <input type="checkbox"/> Yes <input type="checkbox"/> No
NUMBER OF YEARS OF INVESTMENT/ TRADING EXPERIENCE _____ Years	
INTRODUCER DETAILS (OPTIONAL)	Name of Introducer: _____ Status of the introducer: Remisier/Authorized Person/Existing client/Others, please specify _____ Address and phone no. of the Introducer: _____ Signature of the Introducer: _____




DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place: _____

Date: _____

SIGNATURE OF CLIENT

First Holder / Sole	Second Holder	Third Holder
		

FOR OFFICE USE ONLY

UCC allotted to the Client: _____

	Documents verified with original	Client interviewed by	In-person verification done by
Name of the employee			
Employee code			
Designation of the employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents.

I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

For ADINATH STOCK BROKING PRIVATE LTD.

Date: _____



(Authorised Signatory)

SEAL/STAMP OF THE STOCK BROKER

ADDITIONAL FORM FOR DEMAT ACCOUNT

(To be filled by the Depository Participant)

Application No.:

Date: DD / MM / YYYY

DP Internal Reference No. _____

DP ID	1	2	0	5	5	2	0	0	Client ID								
-------	---	---	---	---	---	---	---	---	-----------	--	--	--	--	--	--	--	--

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details:

HOLDERS DETAILS

SOLE / FIRST HOLDER'S NAME	_____	PAN <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> UID <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> UCC <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Exchange Name & ID _____
SECOND HOLDER'S NAME	_____	PAN <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> UID <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> UCC <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Exchange Name & ID _____
THIRD HOLDER'S NAME	_____	PAN <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> UID <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> UCC <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> Exchange Name & ID _____
Name*	_____	

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

TYPE OF ACCOUNT (PLEASE TICK WHICHEVER IS APPLICABLE)

Status	Sub Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA) <input type="checkbox"/> Others(specify) _____	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Others (specify) _____	<input type="checkbox"/> Foreign National - Depository Receipts

DETAILS OF GUARDIAN (IN CASE THE ACCOUNT HOLDER IS MINOR)

Guardian's Name		PAN	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Relationship with the applicant										

OTHER DETAILS

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account statement requirement	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> As per SEBI regulation
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID: _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report (If not marked the default option would be Physical)	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both
I/ We wish to receive dividend / interest directly in to my bank account as given below through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No
BSDA facility	<input type="checkbox"/> I / We wish to avail the BSDA facility. <input type="checkbox"/> I/ We do NOT wish to avail BSDA facility.
SMS alert facility Mobile number: _____	<input type="checkbox"/> Yes <input type="checkbox"/> No (Mandatory if DDPI is given)

OPTION FORM FOR ISSUE OF DIS BOOKLET☐ **Option 1 :**

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us.

OR

☐ **Option 2 :**

I/We do not require the Delivery Instruction Slip (DIS) for the time being. However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my/our request at any later date.

easi

To register for easi, please visit our website www.cdslindia.com.

easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.

MODE OF OPERATION FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge & Freeze)
☐ Jointly

☐ Anyone of the Holder

Consent for Communication to be received by first account holder/ all Account holder: (Tick the applicable box. If not marked the default option would be first holder.

☐ All Holder

☐ Email

☐ First Holder




☐ Email

☐ Second Holder

☐ Email

☐ Third Holder

☐ Email

	First/Sole holder	Second Holder	Third Holder
Name			
Signatures			

SMS ALERT AND TRUST FACILITY:

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below.

I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions of receiving SMS alerts from CDSL and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We wish to avail the following facility/ies provided by the depository on my/our mobile number as provided below subject to the terms and conditions as specified by CDSL

a. SMART-SMS alert facility

b. TRUST- TRansaction using Secured Texting facility

Sole / First Holder's Name	
Second Holder's Name	
Third Holder's Name	

I/We wish to register the following clearing members / IDs under my/our above mentioned BO ID registered for TRUST

Sr. No.	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)

Mobile Number on which messages are to be sent	+ 91										
--	------	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

(Existing users registered for SMS alerts:- Please note that if the mobile number for TRUST is different than the registered mobile number for SMS alert, the new mobile number will be updated for SMS alert also.)

The mobile number is registered in the name of:

(Name) _____

Email ID: _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)

I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purpose of availing the said facility.

I/we acknowledge that transactions entered by the above clearing members will be executed on the basis of SMS sent through our registered mobile number under TRUST and I/we shall be wholly responsible for execution / non-execution of the said transactions based on receipt/non-receipt of such SMS.

I/We have read and understood the terms and conditions prescribed by CDSL for the said facility/ies and agree to abide by them and any amendments thereto made by the depository from time to time. I/we further undertake to pay fee/ charges as may be levied by the depository from time to time.

	First Holder / Sole	Second Holder	Third Holder
Name			
Signatures	☺	✻	☉

NOMINATION FOR TRADING AND DEMAT ACCOUNTS

To,
ADINATH STOCK BROKING PRIVATE LTD.
 01 Runwal Complex, Old Mondha,
 Opp. Hanuman Mandir, Jalna- 431203.

Nominee Registration No.: _____

Date: _____

BO Account Details	DP ID	1	2	0	5	5	2	0	0	BO ID								UCC									
<input type="checkbox"/> DECLARATION FORM FOR OPTING OUT OF NOMINATION FOR TRADING AND DEMAT										I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our MF Folio/ demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/ our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our MF Folio / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the MF Folio / demat account.																	
OR																											
<input type="checkbox"/> I/We wish to make a nomination. [As per details given below]																											
NOMINATION DETAILS																											
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.																											
Nomination can be made upto three nominees in the account.					<u>Details of 1st Nominee</u>					<u>Details of 2nd Nominee</u>					<u>Details of 3rd Nominee</u>												
<u>MANDATORY DETAILS</u>																											
1	Name of the nominee(s) (Mr./Ms.)*																										
2	Share of each Nominee		Equally [If not equally, please specify percentage]			%					%					%											
								<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>																			
3	Relationship With the Applicant (If Any)																										
* Date of Birth and Name of Guardian to be provided in case of minor nominee(s)																											
<u>NON-MANDATORY DETAILS</u>																											
4	Address of Nominee(s)/ Guardian in case of Minor																										
						City / Place:																					
						State & Country:																					
						PIN Code																					
5	Mobile / Telephone No. of nominee(s)/ Guardian in case of Minor																										

		<u>Details of 1st Nominee</u>	<u>Details of 2nd Nominee</u>	<u>Details of 3rd Nominee</u>
6	Email ID of nominee(s)/ Guardian in case of Minor			
7	Nominee/ Guardian (in case of Minor) Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhar <input type="checkbox"/> Saving Bank Account No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demant Account ID			
		Name(s) of Holder(s)		Signature(s) of Holder*
First Holder / Sole (Mr./Ms.)				☺
Second Holder (Mr./Ms.)				✱
Third Holder (Mr./Ms.)				◎
<p>* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.</p> <p>Note: This nomination shall supersede any prior nomination made by the account holder(s), if any. The Intermediary shall provide acknowledgement of the nomination form to the account holder(s)</p>				
Details of the Witness				
Name of Witness				
Address of witness				
Signature of Witness		✍		
(To be filled by ADINATH STOCK BROKING PRIVATE LTD.) Nomination Form accepted and registered wide Registration No. _____ dated _____.				

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

Signature:  _____

ADINATH STOCK BROKING PRIVATE LTD.

		Charge Structure for DP Cleints	
Serial No.	Particulars	New Charge Structure with effect from 1st Oct 2023	
1	Statutory Charges at the time of Account Opening	NIL - 550 FOR DDPI DOCUMENTATION	
2	Statutory Charges for POA	NIL	
3	Account Maintenance	Option 1-Intrest free non refundable deposit (life time charges) Rs. 2500/- for Individual/ HUF, Rs. 5000/- for Corporate.Option 2- Rs. 250 for Individual / HUF, Rs. 750 for Corporate per annum. For BSDA A/c - Free, If value dose not exceed Rs. 50,000/- . Rs 100/- if value is between Rs. 1,00,000 to 2.00.000. If value exceeds Rs. 2 lacks, on that day regular AMC charged.	
4	Demat	Dematerialization Charges: 0.5% Of the market value (Minimum Rs. 200 per Certificate) + Courier charges	
5	Remat	Rs. 15 per Certificate + Courier charges	
6	Transaction (Debit)	Rs 15 for on market Delivery given to Adinath & Rs. 25 for BO to BO in Adinath. Off market transaction Rs.30 for Other. Transaction charges for BSDA A/c is Rs. 30.	
7	Pledge Creation	Rs. 100/-	
8	Pledge Creation confirmation	NIL	
9	Pledge closure	Rs. 100/-	
10	Pledge closure confirmation	NIL	
11	Pledge Invocation	Rs. 100/-	
12	Failed instruction charges	Rs. 50/-	
13	Other charges	Late Transaction Rs. 50 per Instructions & Rs 400 Per Instance for Cheque Dishonored. Rs. 50 per Occasion for Client master Modification. Rs 50 for KRA processing charges inclusive of taxes.	
		Debit balance for more than one month from bill date will Attract interest @ 13% per annum. Charges for Additional DIS Book Rs. 50 and Additional statement of Holding/Transaction Rs 25 per page. service tax would be chargeable as per prevalling govt rules and regulation. With effect from 1 Oct. 2023.	
Bo Name	First/Solo Holder	Second Holder	Third Holder

TARIFF SHEET- BROKING					MANDATORY	
Segment	Square Off		Square off Min (PS)		Delivery	Delivery Min (PS)
	Buy	Sell	Buy	Sell		
BSE Cash						
BSE Futures						
BSE Options						
NSE Cash						
NSE Futures						
NSE Options						
Other						

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars / Notifications / Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the epository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.
13. The stock broker / stock broker and depository participant shall not directly / indirectly compel the clients to execute Power of Attorney (PoA) or Demat Debit and Pledge Instruction (DDPI) or deny services to the client if the client refuses to execute PoA or DDPI.

Statement of account

14. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
15. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
16. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
17. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

18. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
19. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

20. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
21. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

22. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

23. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
24. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

25. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

26. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

27. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
28. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Byelaws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
29. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
30. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI
31. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
32. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

	First Holder / Sole	Second Holder	Third Holder
Name			
Signatures	☺	✿	☉

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**CONFIRMATION UNDER THE FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA)
FOR DETERMINING US PERSON STATUS****MANDATORY**

[Mandatory for all investors including Unit holder (Guardian in case of minor) and Joint holder(s)]

To,
ADINATH STOCK BROKING PRIVATE LTD.
01 Runwal Complex, Old Mondha,
Opp. Hanuman Mandir, Jalna- 431203.

APPLICANT'S INFORMATION

Trading A/c No.

--	--	--	--	--	--	--	--

Demat A/c No.

FATCA DECLARATION FOR INDIVIDUAL CLIENTS

Please provide a response common to all holders in the folio(s). For eg : If the answer to any one of the question for any one of the holder is "Yes", please tick on "Yes" against the question.

FOR INDIVIDUAL INVESTORS (INDIVIDUAL / NRI / HUF / ON BEHALF OF MINOR / PROPRIETORSHIP FIRM)

FATCA Compliance Confirmation Indicia	"Yes" or "No" please (✓)	
Are you a resident or Citizen of the United States?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Is US your place of birth?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you have a US telephone number in the capacity of a resident / citizen of US?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you hold any residence / mailing address / 'C/o address' / hold mail address / PO Box address in the US?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Is your POA holder based out of US or hold US residence / citizenship?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you pay tax in the US?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Do you hold an Identification Number or any identification that indicates US residence / citizenship?	<input type="checkbox"/> Yes	<input type="checkbox"/> No

In case of individual client who is a resident or Citizen of the United States:

Spouse Name : _____

Nationality : _____ Place of Birth : _____


Tax Identification Number (TIN) allotted by Tax resident country : _____

TIN Issuing country : _____

Foreign Address : _____

DECLARATION & SIGNATURE(S) (To be signed as per mode of holding)

Client agrees to provide the trading member and/or depository participant with any documentation or information requested relating to individual or entity tax status. To the extent required by the trading member and/or depository participant, client hereby consents to the disclosure and reporting of any tax related information obtained or held by the trading member and/or depository participant to any local or foreign regulatory or tax authority ("Tax Authority"). Upon request by the trading member and/or depository participant, client hereby agrees to obtain a written waiver or consent from the entity's "substantial owners" or "controlling persons" and to provide those consents to the trading member and/or depository participant to permit it to disclose and report tax and account specific financial information to any local or foreign Tax authority. The terms "substantial owners" and "controlling persons" shall have the meaning as defined under local or foreign tax laws, regulatory guidance or inter governmental cooperation agreements. The potential consequences for failure to comply with requests for tax information, failure to respond to requests for waivers or consents for tax information disclosure, and/or failure to respond to requests to obtain waivers or consents from substantial owners or controlling persons, include, but are not limited to: (a) trading member and/or depository participant has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) trading member and/or depository participant has the ability to withhold taxes that may be due from certain payments made to the client's account; (c) trading member and/or depository participant has the right to pay relevant taxes to the appropriate tax authority; (d) trading member and/or depository participant has the right to refuse to provide certain services; and (e) trading member and/or depository participant has the discretion to close client accounts. The client agrees to inform, or respond to any request from, the trading member and/or depository participant, if there are any changes to tax information previously provided.

	First Holder / Sole / Authorised Signatory 1
Name	
Signatures	

Place : _____

Date : _____

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)




Whereas I/we hold Beneficial Owner number (**BOID**) _____ with Central Depository Services (India) Limited, through **ADINATH STOCK BROKING PRIVATE LTD.** bearing DP ID _____ and also member of Bombay Stock Exchange Limited and National Stock Exchange of India Limited bearing SEBI Registration No.: **INZ000204337**

DEMAT ACCOUNT OF STOCK BROKER




Name of Exchange	Demat Account Number	Type of Account
BSE	1205520000000946	Pool
NSE	1100001000014673	Early pay-in
NSE	1205520000015454	Client unpaid securities pledge account
NSE SLB	IN 30096610496368	Pool account
BSE	1205520000014091	Client securities margin pledge account
BSE / NSE	110001100018159	Early pay -in
BSE / NSE	1205520000003474	Pool account
NSE	IN 51614110496368	NSDL Pool Account

PURPOSE OF DDPI




1. Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the StockExchange through the same Stock Broker

	First / Sole Holder (for and on behalf of the BO)	Second Joint Holder	Third Joint Holder
Specimen Signatures			




2. Pledging / re-pledging of securities in favour of trading member (TM) / clearing member(CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange.

	First / Sole Holder (for and on behalf of the BO)	Second Joint Holder	Third Joint Holder
Specimen Signatures			

3. Mutual Fund transactions being executed on Stock Exchange order entry platforms

	First / Sole Holder (for and on behalf of the BO)	Second Joint Holder	Third Joint Holder
Specimen Signatures			

4. Tendering shares in open offers through Stock Exchange platforms

	First / Sole Holder (for and on behalf of the BO)	Second Joint Holder	Third Joint Holder
Specimen Signatures			

For ADINATH STOCK BROKING PRIVATE LTD.



(SIGNATURE OF AUTHORISED SIGNATORY)

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To,
ADINATH STOCK BROKING PRIVATE LTD.
 01 Runwal Complex, Old Mondha,
 Opp. Hanuman Mandir, Jalna- 431203.

Dear Sir / Madam,

RE: Running Account Authorization

In order to facilities ease of operations of my/our trading account, I/We authorize you to maintain running account, instead of settlement to settlement clearance of funds due to me/us.

I/We would like the mandatory settlement of funds (if applicable), be made as indicated below. (Tick against your preference)



Once in a Quarter




Once in a Month

Such settlement will be done as per directives issued by SEBI/ Stock Exchanges from time to time.

I/We am/are aware that the authorization may be revoked by me/us any time at my/our own discretion without any advance notice.

Yours faithfully,

Client Signature :  _____

Date : _____

Client Name : _____

Client Code : _____

12. LETTER OF AUTHORITY TO TRADE

To,
Adinath Stock Broking Pvt Ltd
1, Runwal Complex, Opp. Hanuman Mandir,
Old Mondha Jalna 431203. (MH)

Sub: Authority to trade on my / our behalf.

Dear Sir,

I/we am/are the holder of the a trading account bearing number _____ (hereinafter referred to
As the "Account") opened and maintained with you and wishes to appoint/ nominate _____
(Hereinafter referred to as the "Representative"), s/d of _____ ,
Years, presently residing

Aged about _____ at _____


.....
..... to perform all or any of the following acts, deeds and
Things, for and on my/our behalf and in my/our interest.

1. To operate the Account on my/our behalf.
2. To issue necessary instructions to you, for purchase, sale or transfer of shares and Securities from or to the Account as per Representative own judgment, and to sign necessary documents, wherever required effectuating such instructions of purchase, sale or transfer of securities from or to the Account.
3. To make or cause to make payments to ADINTH STOCK BROKING PVT LTD (ASBPL). Whenever necessary, and in accordance with my/our obligations in accordance with the terms and conditions of various agreements executed between me/us and ASBPL.
4. To duly honor all my/our contractual obligations under various agreements executed between me/us and ASBPL. Including but not limited to the "Member Constituent Agreement" and/or any other undertaking, commitment issued by my/us in favor of ASBPL.
5. To accept and give valid discharges for acceptance and submission of contract notes, bills, ledger statements, transaction statements and all correspondence on my behalf and report any discrepancy therein to ASBPL
6. To receive & accept necessary telephonic calls pertaining to margin &/or trade confirmation or any other call including
Verification call from ASBPL. At..... Mobile no. & undertake to update this contact detail in writing With ASBPL. In the event of any such change, taking place at any time in future.
7. To sincerely abide by the Statutes, Rules, Regulations and Guidelines prescribed for the purpose and in relation to the operation of the Account.
- A. I/we hereby agree and undertake that all such acts, deeds and things done by the Representative shall be deemed to be binding upon me/us as the same has been done by me/us only and I/we shall hereby ratify all and such acts, deeds, or things done by Representative in any manner whatsoever in discharge of the duties conferred upon him/her under the present instrument.
- B. I/we hereby agree and undertake to indemnify and keep ASBPL. including its directors, officers and employees indemnified against any loss, claims, liabilities, obligations, damages, deficiencies, actions, suits, or proceedings aroused / accrued or caused to the ASBPL. For any wrong act, deed or thing done by the Representative in any manner whatsoever in exercise of the powers conferred upon him.
- C. I/we further undertake and agree not to challenge any act, deed or things done by said Representative in any manner.
- D. I/we hereby agree and acknowledge that this letter of Authority shall be effective and operational until ASBPL. Received and acknowledged revocation letter at its registered office.

Client Name: _____

Client Code: _____

Date: _____

Sign here: (20)  _____

I/We acknowledge receipt of the all documents executed by me/us including the following:

1. Account opening form
2. DDPI
3. Tariff Sheet
4. Most Important terms and conditions

I/We acknowledge that I/We have downloaded the below mentioned document from the Website

Website link: ***www.adinathonline.com***

1. Rights & Obligations of Stock brokers
2. Risk disclosure document
3. Guidance note (Do's and Don't's)
4. Investor charter – Stock Broker and DP
5. Policies and procedures
6. Terms & Conditions – SMS and TRUST Facility
7. Policy on Freezing / blocking of trading account.

Client Signature :  _____

Date : _____



ADINATH

ADINATH STOCK BROKING PRIVATE LTD.

01 Runwal Complex, Old Mondha, Opp. Hanuman Mandir, Jalna- 431203.

Email: adinathgrouop@adinathonline.com • Contact No. 02482 - 243000 / 243100 / 243500 • Website: www.adinathonline.com